



124RCGd PCT 04 JAN 2006

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroshi TAMAGAKI, et al.

SERIAL NO: 10/551,993

GAU:

FILED: October 4, 2005

EXAMINER:

FOR: METHODS OF PRODUCING AN ALUMINA FILM MAINLY IN A CRYSTAL STRUCTURE AND THE MULTILAYER FILM THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Roland E. Martin
Registration No. 48,082



Docket No.: 279148US0PCT

Serial No.: 10/551,993

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Inventor: Hiroshi TAMAGAKI, et al.

Filing Date: October 4, 2005

Group:

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-----------------------------|-------------------|--|-------------------------------------|--|----------------------------------|
| | 280115US0PCT | 10/554,601 | 10/27/05 | | TAMAGAKI, et al. |
| | 279148US0PCT* | 10/551,993 | 10/04/05 | | TAMAGAKI, et al. |
| | 274370US0 | 11/174,551 | 07/06/05 | | KOHARA, et al. |
| | 265206US0PCT | 10/523,931 | 02/07/05 | US2005/0276990 A1 | KOHARA, et al. |
| | 265125US2PCT | 10/523,815 | 02/04/05 | | KOHARA, et al. |
| | 258007US0 | 10/933,374 | 09/03/04 | US2005/0058850 A1 | KOHARA, et al. |

Examiner

Date Considered

*Present Application; listed for information
NFO/kch/hah

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

279148US0PCT

SERIAL NO.

10/551,993

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Hiroshi TAMAGAKI, et al.

FILING DATE

October 4, 2005

GROUP

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|----------|----------------|-------|--------------|-------------------------------|
| | AA | US2005/0276990 A1 | 12/15/05 | KOHARA, et al. | | | |
| | AB | US2005/0058850 A1 | 03/17/05 | KOHARA, et al. | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AC | | | | | | |
| | AC | | | | | | |
| | AC | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | AQ | | | | | |
| | AR | | | | | |
| | AQ | | | | | |
| | AR | | | | | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | AW | |
| | AX | |
| | AY | |
| | AZ | |

☐ Additional References sheet(s) attached

Examiner

/Joseph Miller, Jr./

Date Considered

12/12/2008

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. //J.M./